Financial Adviser Profile

Broad Wealth Management
Creating Protecting Retiring

Overview

Anthony is a Certified Financial Planner (CFP®), a member of the Financial Advice Association Australia (FAAA) and is a Senior Financial Adviser and owner of the boutique financial planning firm, Broad Wealth Management. Anthony has over 20 years of experience in the industry, having spent the last 10 years providing specialist retirement advice to NSW public servants within a top three Industry Super Fund.

Anthony ensures that the complex world of Financial Planning is explained in easy to understand terms, ensuring clients feel heard and valued in the process. He values creating long-term relationships with his clients and seeing them achieve their financial goals now and into the future.

Anthony Broad is a Sub-Authorised Representative of Broad Wealth Management Pty Ltd, Corporate Authorised Representative No. 1308518. Authorised Representative No. 333588.

Qualifications

Anthony is a Certified Financial Planner (CFP®) and holds a Bachelor of Economics and Advanced Diploma of Financial Planning; and meets the competency requirements under ASICs Regulatory Guide RG 146.

Professional Memberships

Anthony is a member of the Financial Advice Association Australia (FAAA) and abides by their code of professional conduct and ethics.

Authorisations

Anthony is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products and Life Risk Insurance Products
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services
- Deposit and Payment Products
- Retirement Savings Accounts (“RSA”) products
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government
- Superannuation
- Securities.

Restrictions – Securities Only
This authorised representative is only authorised to give class of product advice in relation to Securities.

Anthony Broad

Broad Wealth Management

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Broad Wealth Management Advice Fees and Charges

Anthony will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Anthony's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Anthony provides the option of ongoing reporting and advisory services. You will be notified of the cost involved prior to the commencement of any ongoing services.

Broad Wealth Management Pty Ltd pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Anthony is a Director of Broad Wealth Management Pty Ltd and will receive a salary/benefit from this company.

Other Benefits Anthony May Receive

From time to time Anthony may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than $300. A register listing the details of any non-cash benefits between $100 and $300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

Capstone FINANCIAL PLANNING

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